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PATENT 0020-5166P

#### IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Takaaki ISSHIKI et al.

Conf.:

2938

Appl. No.:

10/642,591

Group:

Filed:

August 19, 2003

Examiner:

For:

THROMBUS CAPTURE CATHETER

# INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

March 21, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

#### II. COPIES (check at least one box)

- a. 

  Copies of cited U.S. patents and patent application publications are not included. 

  of foreign patent documents and non-patent literature are included.
- b. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

## III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

#### a. DOCUMENTS IN THE ENGLISH LANGUAGE

The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

#### b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

For CN 1331956 and WO 99/23976, please see the attached English language abstracts.

#### c. ENGLISH LANGUAGE SEARCH REPORT

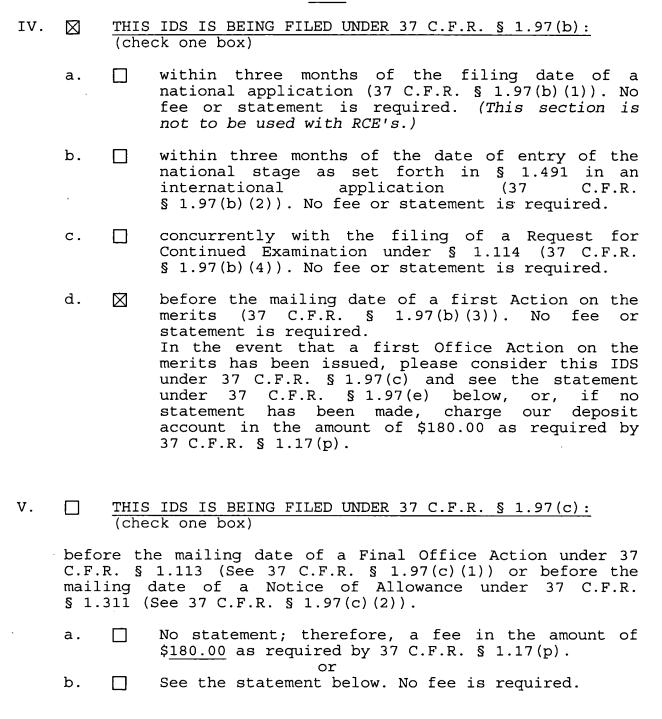
An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

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The following additional information is provided for the Examiner's consideration.

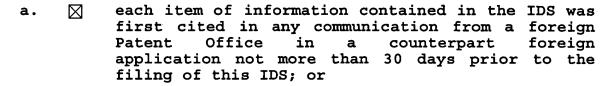
WO 99/23976 is the equivalent to CN 1278713 which was cited in the Chinese Office Action.

#### **FEES**



### VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that



- b. 
  each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- c. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in counterpart foreign a application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. PAYMENT OF FEE:	6 (check one box)
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- A check in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p) is enclosed for the above-identified fee.
- Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the aboveindicated fee. This paper is submitted in triplicate.
- X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

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Falls Church, VA 22040-0747 (703) 205-8000

JMS/mag 0020-5166P

Attachment(s): 
☐ PTO-1449

Documents

Foreign Search Report

Fee

Other:

(Rev. 11/08/04)

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IN AN APPLICATION

ATTY. DOCKET NO. 0020-5166P

APPLICATION NO. 10/642,591

#### APPLICANT INFORMATION DISCLOSURE CITATION Takaaki ISSHIKI et al. FILING DATE (Use several sheets if necessary) August 19, 2003 U.S. PATENT DOCUMENTS FILING DATE IF APPROPRIATE DOCUMENT NUMBER CLASS Kind DATE NAME SUB CLASS US 6,361,546 B1 2002-03-26 Khosravi US 6,371,971 В1 2002-04-16 Tsugita et al. US US US US US US US US FOREIGN PATENT DOCUMENTS Office DOCUMENT DATE COUNTRY TRANSLATION Kind NUMBER YES NO 1999-05-20 WO 99/23976 A1 WIPO abs CN 1331956 A 2002-01-23 CHINA abs OTHER DOCUMENTS (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.